# Innovation Network for Fusion Energy

A new initiative for accelerate the private development of fusion energy



INFUSE Innovation Netwo for Fusion Energy

D. Youchison, A. Diallo June 26, 2019



# Outline

- Introduction to INFUSE, a new SC-FES program
- Private company qualifications
- Awards for the pilot program
- Proposal cycle and schedule
- Participating DOE laboratories
- Proposal requirements
- Review criteria
- INFUSE website submission process
- Summary

## Private/Public Partnerships: the Federal P3 initiatives

- DOE NE GAIN program
- ARPA-e program
- DOE FES INFUSE program
- NASA Tipping Point program
- DOT FAST infrastructure
- DoD LMR, P3 USAR, hundreds more

#### Gateway for Accelerated Innovation in Nuclear

The mission of the Department of Energy Office of Nuclear Energy (DOE NE) is to advance nuclear power as a resource capable of meeting the nation's energy, environmental and national security needs by resolving technical, cost, safety, proliferation resistance, and security barriers through research, development and memory (RD&D]...

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Third Way - Nuclear Reimagined

TRADE REAL



INFUSE Innovation Network

What Is INFUSE? Modeling And Simulation Library Submission



#### Innovation Network for **Fusion Energy**

The INFUSE program will accelerate fusion energy development in the private sector by reducing impediments to collaboration involving the expertise and unique resources available at DOF laboratories. This will ensure the nation's energy, environmental and security needs by resolving technical, cost, and safety issues for industry

Hot Topic: U.S. Department of Energy Office of Fusion Energy Sciences Streng



program

site

A new website hosted at ORNL

announcements concerning the

INFUSE program and act as a

proposal submission and review

will facilitate news and



FES supports fusion science research

New funding opportunities announced for fusion science research



ENERGY

**FES** launches new **INFUSE** announces initial website for the INFUSE round of proposal solicitation

🐮 OAK RIDGE

The Innovation Network for Fusion Energy program announced its first proposal solicitation for the private/public partnership.

DPPPI

# **SINFUSE** for Fusion Energy

- FES establishes INFUSE program to aid private/public partnerships in Fusion
- ORNL and PPPL selected to manage INFUSE program for FES
- ORNL has launched a new INFUSE website for submissions and reviews
- Formal DOE launch announcement occurred June 04
- FY2019 RFA and initial proposal submissions during June, due July 07
- FY2019 award selections funded in September

Participating laboratories: PPPL, ORNL, PNNL, INL, BNL, LBNL, LANL, LLNL

Topical areas: Enabling Technologies including magnets Materials Science Plasma Diagnostics Theory and Simulation Magnetic Fusion Experimental Capabilities

> LOAK RIDGE National Laboratory





LASMA PHYSICS

Dennis Youchison-ORNL. INFUSE Director

<u>Company Certifications</u> - Must be majority-owned U.S. Company. Requestors must certify that they will accept one of the standardized Cooperative Research and Development Agreements (CRADAs) available on the web site, and they will provide the required 20 percent or more cost-share upon selection for a partnership award.

# **Company Qualifications**

<u>Eligible Types of Assistance</u> - Assistance can provide access to unique capabilities and facilities within the DOE complex. Partnership awards cannot be used to obtain a service or use equipment that is available in the private sector.

FY2019 is a **pilot** program Will continue in FY2020 based on response

## Awards

<u>Funding</u>: FES partnership awards provide funding to a laboratory within the DOE complex in order to help eligible private-sector companies overcome critical scientific and technological challenges in the pursuit of fusion energy. DOE anticipates making as many as <u>10</u> awards under this pilot program, each with value of approximately \$50K - \$200K. In all cases, a <u>20</u> percent cost share is required, calculated based on the full project cost (where the full project cost is defined as the sum of the government share and the partnership award recipient share).

<u>Period of Performance</u>: Award activities be completed within <u>12</u> months from the date the CRADA is executed.

## **Topical Areas for the FY2019 Solicitation**

	Lead	Participating
Topical Area	Laboratory	Laboratories
Enabling Technologies, including		
magnets	ORNL	PPPL, BNL, LBNL
Materials Science	ORNL	PNNL, INL
Plasma Diagnostics	PPPL	ORNL
Theory & Simulation	PPPL	ORNL, LANL, LLNL
Magnetic Fusion Experimental		ORNL, BNL, INL, LANL,
Capabilities	PPPL	LBNL, LLNL, PNNL

These areas may broaden or narrow as needed in future solicitations. Applications should focus on basic research in order to be within the Congressionally authorized mission space of FES. Applications focused on commercialization aspects of fusion energy will not be considered.

## Need help? "Who you gonna call?"

## Laboratory Points of Contact

(contact info on protected sharepoint site)

Brookhaven National Laboratory (BNL) Idaho National Laboratory (INL) Lawrence Livermore National Laboratory (LLNL) Lawrence Berkeley National Laboratory (LBNL) Los Alamos National Laboratory (LANL) Oak Ridge National Laboratory (ORNL) Pacific Northwest National Laboratory (PNNL) Princeton Plasma Physics Laboratory (PPPL) Ramesh Gupta Brad Merrill Tom Rognlien Steve Gouray Glen Wurden Dennis Youchison Rick Kurtz Ahmed Diallo

# **Proposal Requirements**

#### **Section I: Technical Merit**

- 1. <u>Company Summary</u>: Describe the mission and vision for your company. What differentiates your company from others in this field?
- 2. <u>Problem Statement:</u> Describe the challenge your company is facing and how this assistance, if granted, will help you overcome that challenge.
- 3. <u>Work Scope</u>: Describe the national laboratory or partner facility capability you need and the work you would like to be completed.
- 4. <u>Fusion Energy Impact</u>: Describe how this project, if successful, will contribute to advancing fusion energy development.

#### Section II: Impact

1. <u>Use of Project Results</u>: Describe how the results of the proposed research assistance will be used to advance the development of your company's fusion concept(s).

#### Section III. Qualifications & Experience

List the key members of your company's leadership and technical team. Briefly describe the qualifications and experience of the members who will be directly involved in the proposed activity. (Respondents may include up to three resumes). Limited to <u>10</u> pages Up to 3 two-page resume's

## MERIT review criteria similar to other FES programs.

- 8-member lab POC panel
- 3 SME reviewers per proposal
- FES makes final award decisions

Request for Assistance applications will be evaluated in accordance with the following criteria:

- 1. SCIENTIFIC AND/OR TECHNICAL MERIT OF THE PROPOSED RESEARCH
- Has the applicant clearly identified a problem or challenge faced by the company that can be overcome by assistance from the host DOE laboratory?
- What is the likelihood of achieving valuable results?
- Does the proposed research have the potential to accelerate progress toward the development of fusion energy?
- 2. APPROPRIATENESS OF THE PROPOSED METHOD OR APPROACH
- Is the applicant's approach realistic and feasible with respect to scientific and technical considerations?
- Is the applicant's approach appropriately aligned with the host laboratory's capabilities?
- Does the applicant recognize significant potential problems and consider alternative strategies?
- 3. COMPETENCY OF APPLICANT'S PERSONNEL AND ADEQUACY OF PROPOSED RESOURCES
- What is the past performance and potential of the company and laboratory Principal Investigators (PI)?
- How well qualified is the research team at the host laboratory to carry out the proposed research?
- Are the research environment and facilities available to the designated host laboratory adequate for performing the research?
- Does the proposed work take advantage of unique facilities and capabilities of the host laboratory?
- 4. REASONABLENESS AND APPROPRIATENESS OF THE PROPOSED BUDGET
- Are the proposed budget and staffing levels adequate to carry out the proposed research?
- Is the budget reasonable and appropriate for the scope?



## 

What Is INFUSE? Modeling And Simulation Library Submission

Search

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To support fusion energy innovation by companies working to develop fusion energy technologies, DOE-FES will provide fiscal year 2019 funds for business awards to assist applicants seeking access to the world class expertise and capabilities available across the U.S. DOE complex. This is one component of the Innovation Network for Fusion Energy (INFUSE), a DOE initiative to provide the fusion industrial community with access to the technical and financial support necessary to move new or advanced fusion technologies toward realization with the assistance of the national laboratories. The objective of INFUSE is to accelerate basic research to develop cost-effective, innovative fusion energy technologies in the private sector.

The FES program mission is to expand the fundamental understanding of matter at very high temperatures and densities and to build the scientific foundation needed to develop a fusion energy source. This is accomplished by studying plasma and its interactions with its surroundings across wide ranges of temperature and density, developing advanced diagnostics to make detailed measurements of its properties and dynamics, and creating theoretical and computational models to resolve essential physics principles.

FES partnership awards are not financial awards made directly to applicants. Awards provide funding to a laboratory within the DOE complex in order to help eligible privatesector companies overcome critical scientific and technological challenges in the pursuit of fusion energy. DOE anticipates making as many as 10 awards under this pilot program, each with value of approximately \$50K - \$200K. In all cases, a 20 percent cost share is required, calculated based on the full project cost (where the full project cost is defined as the sum of the government share and the partnership award recipient share). All award activities should be completed within 12 months from the date the CRADA is executed.

DOE-FES will accept basic research applications focused on innovation that support production and utilization of fusion energy (e.g., for generation of electricity, supply of process heat, etc.) in the following general topic areas:

- · Enabling technologies including new and improved magnets
- Materials science including engineered materials, testing, and qualification
   Plasma diagnostic development
- Modeling and simulation, high-performance computing, codes, and methods
   Magnetic fusion experimental capabilities

Note that applications applicable to isotope production or fission energy will not be considered for award unless there is a clear indication that the focus of the underlying technology supports nuclear fusion energy.

#### Helpful Links

FES HomePage Oak Ridge National Laboratory Princeton Plasma Physics Laboratory Virtual Laboratory for Technology



FES Transients Review https://science.energy.gov/~/media/fes/pdf/programnews/Transients\_Report.pdf

FES Integrated Simulations https://science.osti.gov/-/media/fes/pdf/workshopreports/2016/ISFusionWorkshopReport\_11-12-2015.pdf? Ia=en&hash=1432311A0F2EAD8CCF2375C76A9366BE1A96019C

**ReNeW** https://science.osti.gov/-/media/fes/pdf/workshopreports/Res\_needs\_mag\_fusion\_report\_june\_2009.pdf

### CAK RIDGE OPPPL SHACKTON

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- Magnets and magnet materials for LTSC, HTSC including HFSC
- RF heating systems including ICH, ECH, LHCD, helicon and gyrotron sources

FES HomePage



#### INFUSE Innovation Network

The initial proposal solicitation for INFUSE is now open as of June 01, 2019. FES will support challenging research in five topical areas:

- · enabling technologies
- materials science
- plasma diagnostics
- theory and simulation
- research requiring unique DOE experimental facilities

The submission deadline is June 30, 2019. Award notifications will be issued August 10, 2019. CRADA's must be executed by August 30, 2019. FY2019 awards to labs will be made in September 2019.

Applications should focus on basic research in order to be within the Congressionally authorized mission space of FES. Applications focused on commercialization aspects of fusion energy will not be considered.

While not mandatory, it is strongly suggested that prospective private-sector applicants identify and contact personnel at proposed DOE partner laboratories to establish feasibility prior to submitting a Request for Assistance (RFA) application.

#### **Downloadable Resources**

- Small Business Crada
- DOE Standard Crada
- Tips on writing a successful proposal

#### Submission Process

Submission requires an XCAMS account.

After you request an XCAMS account, you will receive the following notifications:

- 1. A notification that your XCAMS account has been created and is activated.
- A notification from INFUSE that your access to the SharePoint site is in review for approval. NOTE: YOU WILL NOT BE ABLE TO LOG INTO THE SUBMISSION SITE UNTIL YOUR ACCOUNT HAS BEEN APPROVED.
- A notification from INFUSE once your access has been approved and that you're ready to login.

#### Get XCAMS Account

Subm

If you already have an XCAMS account, begin the submission process for your proposal using the orange button:

# Clicking Submit from the public internet site takes you to a protected sharepoint site.

FES HomePage Oak Ridge National Laboratory Princeton Plasma Physics Laboratory Virtual Laboratory for Technology

Helpful Links

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INFUSE POIT LINKS	Sear	ch this site	5	Q	

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EDIT LINKS

#### **INFUSE** Innovation Network for Fusion Energy

Innovation Network for Fusion Energy (INFUSE)

#### Request for Assistance

Award process: By completing the form below, the applicant will upload all information required to respond to the RFA. The form may be saved, revisited, and edited until Sunday, July 7, 2015

U.S. Department of Energy (DOE) Office of Fusion Energy Sciences (FES) Notice of Opportunity: FES Private-Public Research Partnership Program Request for Assistance (RFA). For

To support fusion energy innovation by companies working to develop fusion energy technologies, DOE-FES will provide fiscal year 2019 funds for business awards to assist applicants seeking available across the U.S. DOE complex. This is one component of theInnovation Network for Fusion Energy (INFUSE), a DOE initiative to provide the fusion industrial community with access to new or advanced fusion technologies toward realization with the assistance of the national laboratories. The objective of INFUSE is to accelerate basic research to develop cost-effective, innov

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- · Plasma diagnostic development
- · Modeling and simulation, high-performance computing, codes, and methods
- · Magnetic fusion experimental capabilities

While not mandatory, it is strongly suggested that prospective applicants contact the INFUSE office, or known contacts at DOE laboratories, to e formulation of an acceptable application.

Note that applications applicable to isotope production or fission energy will not be considered for award unless there is a clear indication that the focus (



Proposals & Reviews are performed on a protected Sharepoint site with access control

Limit: one proposal per topical area

Submitters can only see their own proposals

Users can submit, edit and track their proposals until the final submission deadline

EDIT						
Сору	à					
Close Paste	Print Preview					
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	Innovat	tion Network for Fusion Energy (INFUSE)				
		Request for Assistance Application				
	Status of Assistance Applicatio New	n:			,	
	Remember - until you click Sav	e & Submit - your submission will not be valid.				
	Project Title:	Cannot be blank	*			
	Brief Project Description:		*			
		Principal Investigator Information				
	Salutation:	Dr.	~			
	First Name:		*			
	Last Name:		*			
	Phone Number:		*			
	Primary Email:		*		,	
	Confirm Email:		*	•		
	Alternate Email:					
	See supporting	Company Information & Topic Area document for additional information before answering questions				
	Company Name:	abcument for additional information before answering questions	*			
	DUNS Number:		*		•••••	
		The DUNS number is required. All applying businesses must have a curre Bradstreet number.	nt Dun &	- Kequ	Jires a U.S.	DUNS number
	Eligible Requestor:	Yes, I meet the criteria of an Eligible Requestor			(Dun & Br	adstreet)
	Small Business:	Select	* 🗸			aasiroory
	Topic Area:	Select	* 🗸			
	Street Address:		*			
	City:		*			
	State:		*			
	Zip Code:		*			
	See supporting	Terms and Conditions document for additional information before answering questions				
	Terms and Conditions:	Yes, I agree to these terms and conditions.				
	See supporting	Technical Narrative document for additional information before uploading proposal				
	Upload Proposal:	<ul> <li>Ø Click here to attach a file</li> </ul>				

See supporting do	Cost Share Estimate cument for additional information before answering questions		
Estimated Total Project Value:		*	
Cost Share Funds:		*	
	(Government award value + cost share)		
Cost Share Agreement:	Ves applicant agrees to provide a minimum of 20% cost share		

#### DOE Laboratory Preference

If known, identify the National Laboratory you would like to collaborate with on the proposed RFA. If known, identify the first and last name of your contact at the preferred national laboratory or facility. Currently, the pilot program is limited to the 8 DOE laboratories in the list box below.

If a preferred facility or point of contact is unknown, DOE will identify the appropriate resources as part of the selection process. For additional information on available resources and capabilities, you may refer to the FESUser Facilities or at any of the DOE laboratory websites listed in the list box below.

DOE Laboratory (if known)	Unknown
Specific Resource:	BNL INL LANL
Laboratory Point of Contact (if known)	LBNL LLNL
Is the involvement of intellectual property anticipated?	No

#### Additional Contact Information

If there are additional Co-PI/Collaborator(s) you wish to identify, please do so below.

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## Participating Labs

BNL INL

LANL LBNL LLNL ORNL PNNL PPPL

#### Merit Review Criteria

Request for Assistance applications will be evaluated in accordance with the criteria detailed within the supporting documentation for Merit Review Criteria. Please review before submission.

#### COMPLETION

After completing and saving this form, the applicant may edit the saved version until Sunday, July 7, 2019 5:00 p.m. EDT. To submit the application for final review, the applicant MUST click on the "Save and Submit" below. Once submitted, the applicant may "Withdraw and Revise" the application until the close date. Forms in the system that are saved in "Working" status but not "Submitted" are considered incomplete and will not be reviewed.

For assistance with this process, please contact Dennis Youchison at 865-574-0208 or youchisondl@ornl.gov. If you are having problems submitting the form, please contact Lara James at 865-576-3753 or jamesla@ornl.gov.

Save

Save & Submit

Withdraw



## Ten hints for writing a successful INFUSE Award Request (...or things I wish I knew before I submitted my award request)

- If you have a DOE national laboratory point of contact (POC), identify them in the appropriate section in the electronic application system. Don't include the POC as part of the proposing team in your proposal, since they are part of the resource you are requesting.
- If you don't have a DOE national laboratory POC, the INFUSE team can help identify the right national laboratory and appropriate technical leads to assist you in your research needs.
- Articulate your objective as clearly as possible. Keep this question in mind: Why do I specifically need the DOE national laboratory or resource?
- 4. Remember, DOE national laboratories are prohibited by law from competing with the private sector, so an award that is asking for general services will not be considered. For example, structural analysis of a reactor building using commercial finite element analysis software is a general service.
- Awards are not intended to assist you with the fundamental design of the technology you are developing. If you need assistance with a specific design aspect or component of your technology, you must be clear about the requirements and constraints that apply to this component.
- 6. In general, an award that asks a DOE national laboratory to complete general design work or make decisions on a design, will not be awarded. The laboratories' role is to provide you with the data necessary to make those decisions on your own.
- Awards are not an appropriate mechanism for tackling large, sustained research and demonstration (R&D) projects or design efforts. An award will not generally be approved for continuation of a previous award. It should be clear that the requested assistance will advance a well-defined aspect or component of your overall technology.
- Make sure that the assistance that you are requesting can reasonably be completed within one year. Seek the advice of the national laboratory POC to determine cost and schedule estimates.
- Awards should not be used as a means to request upgrades or additions to DOE infrastructure. They are intended to help you advance your technology using existing national laboratory capability.
- Think about how your award will enhance or enable development of a technical relationship with the national laboratory, which can be a resource for you all the way from basic research through development and end-use.

## **Important dates:**

- June 04: Press release, First solicitation issued for RFAs
- July 07: Proposals due
- August 10: Reviews completed
- August 15: Awards announced via e-mail and posted on the site
- September 15: Funding issued, CRADAs executed & projects start

## **Our Future**

• A subsequent semi-annual proposal schedule is envisioned, based on response

to FY2019 pilot program

- FY2020 budget request is ~\$4M
- 1<sup>st</sup> Workshop organized in early FY2020 with U.S. industrial leaders
- Maintain project report library and publicize national laboratory reports
- Obtain feedback and evaluate effectiveness of program